

TPPI Legislative Rules

North Carolina General Statutes Chapter 130A: Public Health Article 5: Maternal and Child Health and Women's Health Part 6: Teen Pregnancy Prevention

§ 130A-131.15A. Department to establish program.

(a) The Department shall establish and administer Teen Pregnancy Prevention Initiatives. The Department shall establish initiatives for primary prevention, secondary prevention, and special projects.

(b) The Commission shall adopt rules necessary to implement this section. The rules shall include a maximum annual funding level for initiatives and a requirement for local match.

(c) Initiatives shall be funded in accordance with selection criteria established by the Commission. In funding initiatives, the Department shall target counties with the highest teen pregnancy rates, increasingly higher rates, high rates within demographic subgroups, or greatest need for parenting programs. Grants shall be awarded on an annual basis.

(d) Initiatives shall be funded on a four-year funding cycle. The Department may end funding prior to the end of the four-year period if programmatic requirements and performance standards are not met. At the end of four years of funding, a local initiative shall be eligible to reapply for funding.

(e) Administrative costs in implementing this section shall not exceed ten percent (10%) of the total funds administered pursuant to this section.

(f) Programs are not required to provide a cash match for these funds; however, the Department may require an in-kind match.

(g) The Department shall periodically evaluate the effectiveness of teen pregnancy prevention programs. (2001-424, s. 21.89(c).)

North Carolina Administrative Code Title 10A – Health and Human Services Chapter 43 – Personal Health Section .0800 – Teen Pregnancy Prevention

10A NCAC 43A .0801 GENERAL

(a) The Teen Pregnancy Prevention Initiatives shall be administered by the Division of Public Health, 1915 Mail Service Center, Raleigh, North Carolina 27699-1915, (919) 733-7791.

(b) The Division of Public Health shall take the following actions prior to the end of State Fiscal Year 2001-2002: All currently funded Teen Pregnancy Prevention Projects shall be notified that they have been assigned to one of four groups, based upon the date that their Teen Pregnancy Prevention funding was initiated. This grouping shall allow the Division to phase out, in an orderly manner, those projects funded under the former rules of operation. These projects shall be grouped as follows:

- (1) Group one shall be informed that they have one year of funding remaining. Projects in this group may file competitive applications for re-funding in the fall of 2002 for grants beginning on July 1, 2003.
- (2) Group two shall be informed that they have two years of funding remaining. Projects in this group may file competitive applications for re-funding in the fall of 2003 for grants beginning on July 1, 2004.
- (3) Group three shall be informed that they have three years of funding remaining. Projects in this group may file competitive applications for re-funding in the fall of 2004 for grants beginning on July 1, 2005.

- (4) Group four shall be informed that they have four years of funding remaining. Projects in this group may file competitive applications for re-funding in the fall of 2005 for grants beginning on July 1, 2006.

(c) Notwithstanding Paragraph (b) of this Rule, Adolescent Pregnancy Prevention Program Projects that were approved for funding prior to December 1, 2001 shall receive their annually decreasing funding amount until the end of the original five-year agreement. These projects shall be placed in the groups described in Paragraph (b) of this Rule according to the years remaining on their original agreements. Any existing project that decides to forgo its remaining years of APPP funding and to submit an application for stable funding under the revised program rules, may do so only after submission of a notice of voluntary program termination no later than six months prior to the start of the next fiscal year.

History Note: Authority G.S. 130A-124; 130A-131.15A; S.L. 1989, c. 752, s. 136; Eff. August 1, 1990; Temporary Amendment Eff. December 1, 2001; Temporary Amendment Expired September 13, 2002; Amended Eff. April 1, 2003.

10A NCAC 43A .0802 DEFINITIONS

The following definitions shall apply throughout this Subchapter:

- (1) "TPPI" means the Teen Pregnancy Prevention Initiatives which covers the Adolescent Pregnancy Prevention Program and Adolescent Parenting Program administered by the Division of Public Health.
- (2) "DPH" means the Division of Public Health, 1915 Mail Service Center, Raleigh, North Carolina 27699-1915.
- (3) "Contractor" means a county or district health department or department of social services or other public or private agency receiving Teen Pregnancy Prevention Initiatives funding.
- (4) "Adolescent" means any individual 19 years of age and under.
- (5) "Major Equipment" means any fixed asset that has a unit cost of two thousand dollars (\$2,000) or more.
- (6) "Minor Remodeling" means any building or facility reconstruction project having a total cost of two thousand dollars (\$2,000) or less.
- (7) "Primary pregnancy prevention" means prevention of first pregnancy.
- (8) "Department" means the Department of Health and Human Services.
- (9) "The Commission" means the Commission for Public Health.
- (10) "Secondary pregnancy prevention" means prevention of second and higher order pregnancies.

History Note: Authority G.S. 130A-124; 130A-131.15A; Eff. August 1, 1990; Amended Eff. January 4, 1994; Temporary Amendment Eff. December 1, 2001; Temporary Amendment Expired September 13, 2002; Amended Eff. April 1, 2003.

10A NCAC 43A .0803 GRANT APPLICATIONS

(a) All programs receiving TPPI grants shall demonstrate through a competitive application process that their proposed strategies reflect best practice models for teen pregnancy prevention and strong collaboration of local agencies within their communities. Community agencies in counties ranking in the top quartile relative to pregnancy rates among girls aged 15 to 19 shall receive requests for application (RFAs) 30 days prior to the mailing to agencies in other counties. All community agencies that apply for this funding shall receive technical assistance. Individual consultations with those counties receiving the RFA earlier due to their teen pregnancy rankings shall have access to technical assistance from staff of the Division of Public Health for 45 additional days.

(b) Grants shall be awarded through a request for applications (RFA) process that includes notification of potential applicant agencies of the eligibility criteria and requirements for funding.

- (c) Any local agency or organization or combination of agencies and organizations may apply to the DPH for an allocation of money to operate a project aimed at preventing primary or secondary adolescent pregnancy.
- (d) The application shall contain an analysis of adolescent pregnancy and related problems in the locality the project would serve, and a description of how the funded project would attempt to prevent the problems.
- (e) The application shall state how much money is needed to operate the project and how the money shall be spent.
- (f) The Department shall conduct annually a pre-application conference that shall be attended by a representative of any agency that wishes to apply for funding; that session shall define the criteria for accountability and evaluation that the Department requires of funded projects. That session shall also provide information about additional funding sources to which agencies might turn.
- (g) Application Requirements – The Department shall apply the following standards to agencies applying for first-year funding:
 - (1) Each agency shall have a plan of action that extends throughout their funding cycle.
 - (2) Each agency shall have realistic, specific, and measurable goals and objectives for the prevention of adolescent pregnancy.
 - (3) Each agency, before submitting its application, shall send a representative to the pre-application conference held by the Department.

*History Note: Authority G.S. 130A-124; 130A-131.15A;
 Eff. August 1, 1990;
 Amended Eff. January 4, 1994; August 1, 1991;
 Temporary Amendment Eff. December 1, 2001;
 Temporary Amendment Expired September 13, 2002;
 Amended Eff. August 1, 2004; April 1, 2003.*

10A NCAC 43A .0804 MAXIMUM FUNDING LEVEL

The maximum level of funding for any one project shall be:

- (1) Fifty thousand dollars (\$50,000), provided that local participants contribute a minimum of ten thousand dollars (\$10,000) in-kind match annually.
- (2) Sixty-five thousand dollars (\$65,000), provided that local participants contribute a minimum of eighteen thousand dollars (\$18,000) in-kind match annually and that active leadership or financial support is annually demonstrated from at least three of the following groups:
 - (a) local public school system;
 - (b) public and private health care providers;
 - (c) local social services department;
 - (d) local mental health authority;
 - (e) local Workforce Board; or
 - (f) corporations and businesses.
- (3) Seventy-five thousand dollars (\$75,000), provided that local participants contribute a minimum of twenty-five thousand dollars (\$25,000) in-kind match annually and:
 - (a) that active leadership or financial support is annually demonstrated from at least four of the following groups:
 - (i) local public school system;
 - (ii) public and private health care providers;
 - (iii) local social services department;
 - (iv) local mental health authority;
 - (v) local Workforce Board; or
 - (vi) corporations and businesses; and
 - (b) that the target population participants in the TPPI projects are linked with:
 - (i) academic support programs such as Communities in Schools (CIS) or Save Our Students (SOS);
 - (ii) health related programs such as physical fitness and nutrition related activities;
 - (iii) child care, economic assistance, and other social services programs;
 - (iv) counseling or other therapeutic services;
 - (v) career counseling and job shadowing using such programs as the local JobLink Center; or

- (vi) mentoring by local businesses or organizations.

History Note: Authority G.S. 130A-124; 130A-131.15A;
Eff. August 1, 1990;
Amended Eff. January 4, 1994;
Temporary Amendment Eff. December 1, 2001;
Temporary Amendment Expired September 13, 2002;
Amended Eff. August 1, 2004; April 1, 2003.

10A NCAC 43A .0805 OPERATING STANDARDS

- (a) Upon approval of an application for grant funds a budget shall be negotiated and a contract shall be signed between the Contractor and the DPH.
- (b) Project funds shall be used solely for the purposes detailed in the approved application and budget.
- (c) Contractors shall not use TPPI funds for purposes that are prohibited by statute, or for the following purposes:
 - (1) purchase of inpatient care;
 - (2) purchase or improvement of land;
 - (3) purchase, construction, or permanent improvement (other than minor remodeling) of any building or other facility;
 - (4) purchase or prescriptions of contraceptives;
 - (5) transportation to or from abortion services; or
 - (6) abortions.
- (d) TPPI projects shall not impose charges on clients for services.
- (e) Staff qualifications, training, and experiences shall be appropriate for implementing project activities.
- (f) Each project shall participate in the annual training conference with state staff and other project staff.
- (g) The start-up period before project activities are implemented shall not exceed six months.
- (h) Each project shall obtain approval from the DPH prior to making changes in program goals, objectives, and target populations. The Division of Public Health shall only approve changes that are consistent with the rules of this section.
- (i) Each project shall have an advisory group composed of members both within and outside the sponsoring agency of the project. These groups shall meet at least quarterly and advise project staff on project policies and operations.
- (j) Each project shall define and maintain cooperative ties with other community institutions.
- (k) Each project shall demonstrate its ability to attract financial support from sources other than the State, including sources in the local community.

History Note: Authority G.S. 130A-124; 130A-131.15A; S.L. 1989, c. 752, s. 136;
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Temporary Amendment Eff. December 1, 2001;
Temporary Amendment Expired September 13, 2002;
Amended Eff. April 1, 2003.

10A NCAC 43A .0806 EVALUATION AND MONITORING

- (a) The DPH shall make site reviews of Contractors to assess program performance.
- (b) The DPH shall make periodic site visits to contractors to provide technical assistance and consultation.

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Eff. August 1, 1990;
Temporary Amendment Eff. December 1, 2001;
Temporary Amendment Expired September 13, 2002;
Amended Eff. April 1, 2003.

10A NCAC 43A .0807 RENEWAL OF GRANT FUNDS

- (a) Contracts for TPPI projects are subject to annual renewal for a four year period based upon criteria established by the program and contingent upon the availability of funds for this purpose.
- (b) A contractor that violates any of the provisions of these rules may have TPPI funding reduced or discontinued. The Department shall make the final decision to reduce or discontinue funding based upon the advice of the Commission.

History Note: Authority G.S. 130A-124; 130A-131.15A; S.L. 1989, c. 752, s. 136;
Eff. August 1, 1990;
Temporary Amendment Eff. December 1, 2001;
Temporary Amendment Expired September 13, 2002;
Amended Eff. April 1, 2003.

10A NCAC 43A .0808 CRITERIA FOR PROJECT SELECTION

(a) The Department shall present funding recommendations to the Commission from among the applicants that meet the minimum standards in Rule .0803 of this Subchapter. A multi-disciplinary committee of public and private health and human services providers who are familiar with adolescent health issues shall review applications based upon the criteria set out below. Recommendations shall also be based upon the best selection of projects according to the following criteria:

- (1) Degree of need of the locality, including that the service area has a significant adolescent pregnancy problem as evidenced by its adolescent pregnancy rate, adolescent birth rate, attributable risk score, and percentage of repeat adolescent births;
- (2) Evidence of selection of a program model that has documented success in the prevention of teen pregnancy;
- (3) A plan to provide comprehensive sexuality education including complete and medically accurate information about contraceptive methods including abstinence to all participants.
- (4) A plan to refer teens who have needs beyond the scope of the program including substance abuse, domestic violence, family planning, and mental health, to an appropriate provider.
- (5) A statewide program evaluation plan that addresses the administration of pre-tests and post-tests that measure participants' knowledge, attitudes and behaviors as compared to a control group; and submission of data in an internet based database;
- (6) Adequacy of agency and staff to meet project objectives;
- (7) Level of community support. There shall be documentation such as letters or statements of commitment from partnering organizations to show strong support for the application;
- (8) Evidence that the proposed budget does not exceed the costs of the planned program activities; and
- (9) Demonstration by existing or formerly TPPI-funded projects that they have provided an effective intervention for reducing adolescent pregnancy rates among their participants.

(b) The Commission shall provide input regarding the proposed funding decisions made by the Department. The Department shall consider the input of the Commission, but is not bound by it. By June 1 of each year the Department shall notify the projects that are to be funded.

History Note: Authority G.S. 130A-124; 130A-131.15A;
Temporary Adoption Eff. December 1, 2001;
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